




dataquieR 2: An updated R package for FAIR data quality assessments in observational studies and electronic health record data

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Summary

dataquieR version 2 is a major update to the dataquieR package (Richter et al., 2021). It enables extensive, highly standardized, and accessible data quality assessments related to data integrity (such as data type errors or duplicates), completeness (for example, missing values), consistency (for instance, range violations or contradictions), and accuracy (such as time trends or examiner effects) on tabular form data. This update extends the coverage of data quality indicators from the underlying framework (Schmidt et al., 2021) based on a substantially improved information model. It furthermore comprises performance enhancements, interactive output, and versatile options to grade data quality issues. The broader framework coverage is achieved by integrating dataquieR 2 with a differentiated metadata schema. This spreadsheet-type schema includes descriptions, expectations and requirements about the following data objects in a machine-readable way: (1) single variables and data fields, (2) combinations of variables, (3) segments of the data, (4) data tables, (5) representation and classification of missing data, and (6) identifier codes. The new metadata schema makes additional assumptions underlying data quality assessments explicit, thereby improving reproducible data quality reporting in compliance with the FAIR (Findable, Accessible, Interoperable, and Reusable) principles (Wilkinson et al., 2016). While dataquieR has primarily been designed for observational health studies, such as population-based cohort studies (Peters et al., 2022; Schmidt et al., 2023), it can also be applied to all sorts of tabular data from other sources, for instance, electronic health record (EHR) data, or registries.

Statement of Need

The need to thoroughly assess data before using them for any substantive scientific purpose is undisputed, and much work has been done in this regard. On the one hand, several data quality frameworks have been developed in the health sciences to guide data quality assessments. Examples are frameworks with a focus on medical registries (Lee et al., 2017; Nonnemacher et al., 2014), EHR data (Kahn et al., 2016; Liaw et al., 2021; Weiskopf & Weng, 2013), or observational health research data collections (Nonnemacher et al., 2014; Schmidt et al., 2021). Other frameworks have been developed in the context of the European Health Data Space (TEHDAS, 2022), the European Open Science Cloud (Lacagnina et al., 2023), in the context of regulatory decision making (Data Analytics and Methods Task Force, 2023) or with focus on checking data properties as part of an initial data analysis (Huebner et al., 2018). On the other hand, many tools have been made available to assess data quality in different programming languages (Ehrlinger & Woss, 2022; Mariño et al., 2022). Still, substantial challenges remain; a recent review of 27 R packages to assess data quality revealed important findings (Mariño et

al., 2022). Drawbacks in most existing R packages for quality evaluation are that these tools are not based on formal data quality frameworks, and the rules underlying the assessments need to be provided in a program-specific syntax rather than being available in an interoperable, reusable format. Exceptions are `dataquieR` (Richter et al., 2021), and `DQAsstats` (Kapsner et al., 2021). The latter is mainly used for inpatient EHR data, while `dataquieR` targets designed observational research studies. Both define quality-related metadata separately from the programming code. Such stand-alone metadata files comprise data descriptions, expectations and requirements about the data. They are a major precondition to conduct reproducible data quality assessments (Mariño et al., 2022). Regarding `dataquieR`, three main shortcomings existed: first, the functions and previous metadata concept only allowed calculating 18 out of 34 data quality indicators from the reference data quality framework (Schmidt et al., 2021); second, performance issues in handling larger numbers of variables in a single report limited its use; third, even complex output was static, thus limiting the possibility of users to interactively focus on details of concern.

New functionalities

Metadata is now organized in six tables (formerly two tables) that specify overall expectations at the levels of: (1) single variables and data fields (for instance, range violations of individual data values, expected range of a mean), (2) combinations of variables (such as contradictions between values of different variables), (3) segments of the data (for example, different examinations), (4) data tables (for instance, the expected sample size), (5) representation and classification of missing data (for example, linking a study specific use of missing value codes with a generic approach (AAPOR, 2023)), and (6) identifier codes (for example, pseudo ids). Each metadata table can be handled as a spreadsheet in a workbook, allowing metadata input directly in the spreadsheet or by specifying the source file for a specific item (for example, another spreadsheet or a URL). To ease the annotation of complex contradiction rules, `dataquieR 2` now supports a syntax language inspired by REDCap (Harris et al., 2009). Examples for contradictions in the new syntax are: (1) “[sbp1] < [dbp1]” to express that systolic blood pressure cannot be lower than diastolic blood pressure; or (2) “[DIABETES_KNOWN_0] = ‘yes’ and [DIAB_AGE_ONSET_0] = ‘’” to denote that for study participants with a known diabetes diagnosis, the age of onset of diabetes should also be specified and cannot be empty. The earlier approach could only handle the relation of two variables, now there is no formal limit to rule complexity.

The metadata extensions enable new indicator functions to discover data quality issues. They foremost target the Integrity and Accuracy dimensions of the framework (Schmidt et al., 2021), comprising new options to detect unexpected data elements or data records, duplicates, as well as the detection of unexpected proportion and unexpected location parameters (for example, mean outside a defined range). Thus, `dataquieR 2` allows for the evaluation of 24 data quality indicators out of the 34 in the concept. However, the extended metadata scheme already lays the basis for the coverage of the remaining indicators. Another development is grading data quality issues into up to five categories according to their severity. This grading enables users to identify potentially problematic variables more quickly. The grading can be adapted to study specific needs.

In addition, numerous output improvements have been implemented. Examples include: (1) a pie chart summarizing the number of variables in each data quality category, (2) a summary matrix displaying all potential data quality issues or problems related to missing/deficient metadata, (3) easier navigation thanks to the inclusion of breadcrumbs that show the current location in the report, (4) more detailed output for specific data quality checks combining tables and interactive plots, (5) the use of hover text in plots to better interpret the results, (6) the possibility to download plots and tables directly from the report as well as to obtain the source code to reproduce plots individually, (7) the inclusion of descriptive statistics and metadata information in the report.

dataquieR 2 reports are produced using a two-stage approach: computation and rendering. First, the computation stage creates a list with all possible and reasonable function calls according to the metadata. These independent functions are executed in parallel. We eliminated idle times and sequential parts in the report computation through different parallelization strategies, most prominently, a job-queue-based approach (Bengtsson, 2021, 2024a; Csárdi & Chang, 2024a).

In the rendering stage, reports are no longer produced using R Markdown (Allaire et al., 2024). dataquieR 2 directly renders HTML files using htmltools (Cheng et al., 2024). This speeds up the reporting and improves the use of HTML-specific capabilities (for example, interactive figures using plotly (Sievert, 2020)). Importantly, dataquieR 2 does not write single large files containing all required resources but creates a complete mini-website for the data quality report, which can then be displayed by web browsers using fewer resources of the client computer (that is, in terms of memory and CPU usage).

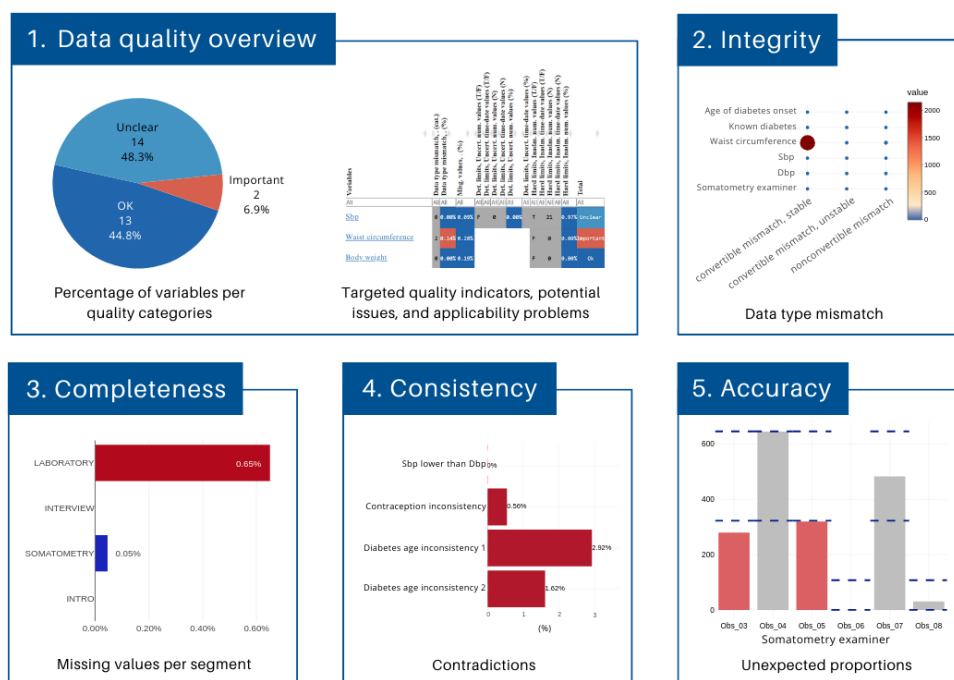


Figure 1: Outline of dataquieR 2's report, showing the main sections with selected outputs for each case.

Installation and examples

dataquieR 2 is available on the Comprehensive R Archive Network (CRAN) and can be installed using `install.packages("dataquieR")`.

Example 1: Computing a data quality report

```
library(dataquieR)

report_ship_data <- dq_report2(
  study_data = "ship",
  meta_data_v2 = "ship_meta_v2",
  dimensions = NULL,
  label_col = LONG_LABEL,
  title = "SHIP data quality report"
```

```
)

print(report_ship_data, dir = "~/data_quality_reports/report_ship_data")

The resulting report is available at https://dataquality.qihs.uni-greifswald.de/report\_2024q1/,
and see also Figure 1.

Example 2: Calculating a data quality indicator (uncertain and inadmissible numerical values,
Figure 2)

ship_data <- prep_get_data_frame("ship")

prep_load_workbook_like_file("ship_meta_v2")

sbp1_limits <- con_limit_deviations(
  resp_vars = "sbp1",
  study_data = ship_data
)

sbp1_limits$SummaryPlotList

sbp1_limits$ReportSummaryTable

sbp1_limits$SummaryData
```

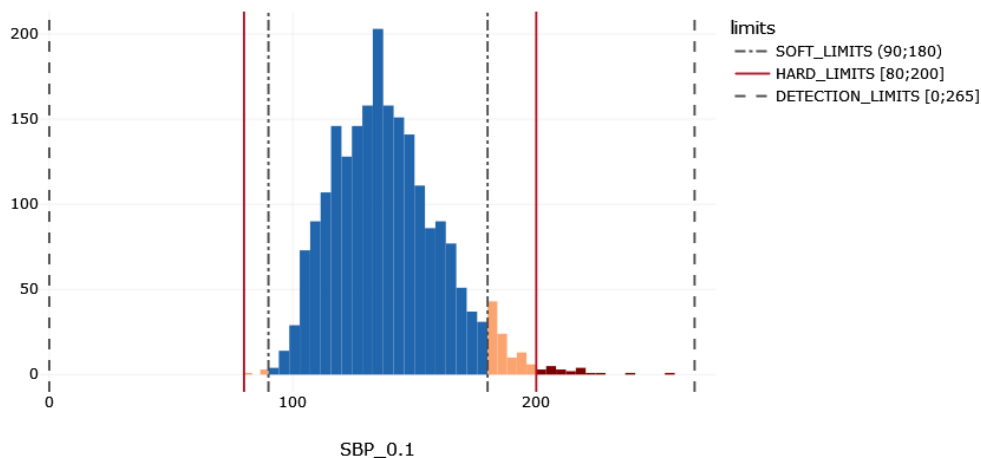


Figure 2: Improved output of the `con_limit_deviations` indicator function showing the three possible limits for a variable in a single plot (from Example 2).

Dependencies

For data loading, shaping and analysis, `dataquieR` makes use of the R packages `dplyr` (Wickham, François, et al., 2023), `rlang` (Henry & Wickham, 2024), `withr` (Hester et al., 2024), `lifecycle` (Henry & Wickham, 2023), `emmeans` (Lenth, 2024), `MASS` (Venables & Ripley, 2002), `lme4` (Bates et al., 2015), `MultinomialCI` (Villacorta, 2021), `robustbase` (Maechler et al., 2024; Todorov & Filzmoser, 2009), `ggplot2` (Wickham, 2016), `patchwork` (Pedersen, 2024), `R.devices` (Bengtsson, 2024b), `scales` (Wickham, Pedersen, et al., 2023), `lubridate` (Grolemund & Wickham, 2011), `parallelMap` (Bischof et al., 2021), `qmrparser` (Rosat & Coscollà, 2022), `rio` (Chan et al., 2023), `readr` (Wickham et al., 2024), and `units` (Pebesma et al., 2016).

Optionally, the following packages can be employed for extended features, if installed. These features are: (1) Interactive HTML reports: `htmltools` (Cheng et al., 2024), `htmlwidgets` (Vaidyanathan et al., 2023), `markdown` (Xie et al., 2023), `rmarkdown` (Allaire et al., 2024; Xie et al., 2018, 2020), and `Rdpack` (Boshnakov, 2023), additionally, `DT` (Xie et al., 2024) and `plotly` (Sievert, 2020) for interactive tables and interactive figures in an HTML report respectively. (2) Parallel computing: `processx` (Csárdi & Chang, 2024b), `R6` (Chang, 2021), and `callr` (Csárdi & Chang, 2024a) for fast, queue-based parallel computing, `parallelly` (Bengtsson, 2024a) or `rJava` (Urbánek, 2024) for correct detection of compute cores in containers, and `future` (Bengtsson, 2021) as an alternative parallel compute strategy. (3) Improved metadata handling: `rvest` (Wickham, 2024) for reading metadata from web servers, `xml2` (Wickham, Hester, et al., 2023) for full support of the `UNIT` column in item-level metadata, `textutils` (Schumann, 2023) for supporting `VALUE_LABELS` with special characters, `whoami` (Csárdi, 2019) to auto-detect a report author's name. (4) User interface: `cli` (Csárdi, 2023) for nicer console output, `rstudioapi` (Ushey et al., 2024) for RStudio progress bars, `shiny` (Chang et al., 2024) for improved integration with Shiny user interfaces. (5) Specific figures: `GGally` (Schloerke et al., 2024) for descriptive pairs-plots, `colorspace` (Stauffer et al., 2009; Zeileis et al., 2009, 2020) for nicer colors in some plots, `mgcv` (Wood, 2003, 2004, 2011, 2017; Wood et al., 2016) for more efficient LOESS plot computation.

Acknowledgements

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